



INTERNATIONAL ORGANIZATION OF SECURITIES COMMISSIONS



HARVARD LAW SCHOOL

PROGRAM ON INTERNATIONAL FINANCIAL SYSTEMS

IOSCO/PIFS-Harvard Law School

Global Certificate Program for Regulators of Securities Markets

October 17-28, 2016, Madrid, Spain (Phase I at IOSCO)

December 4-9, 2016, Cambridge, MA, United States (Phase II at Harvard)

Agenda Phase II

In-Person Module on Ahead of the Curve Issues (December 4-9, 2016)

Location:

Harvard Law School Campus

1585 Massachusetts Av.

Cambridge, MA 02138

UNITED STATES

Sunday December 4

17:00 – 19:00 Welcome Cocktail

Monday December 5

08:45 – 09:00 Registration

09:00 – 09:15 Overview of Curriculum

09:15 – 10:30 Session 1: Corporate Governance: Overview

10:30 – 10:45 Break

10:45 – 12:15 Session 2: Corporate Governance: The Role of Activist Shareholders

12:20 – 13:40 Lunch

13:45 – 15:15 Session 3: Corporate Governance: The Role of Institutional Advisors

15:15 – 15:30 Break

15:35 – 17:00 Session 4: Corporate Governance: Short and Long-Term Investor Thinking



Tuesday December 6

09:00 – 10:30	Session 5: Enforcement: Overview
10:30 – 10:45	Break
10:45 – 12:15	Session 6: Enforcement Actions against Companies Versus Individuals
12:20 – 13:40	Lunch
13:45 – 15:15	Session 7: Enforcement: Civil Versus Criminal
15:15 – 15:30	Break
15:35 – 17:00	Session 8: Enforcement: Federal Versus State or Local

Wednesday December 7

09:00 – 10:30	Session 9: Market Structure: Importance of Competitiveness
10:30 – 10:45	Break
10:45 – 12:15	Session 10: Market Structure: Development of Private Markets
12:20 – 13:40	Lunch
13:45 – 15:15	Session 11: Market Structure: Securitization
15:15 – 15:30	Break
15:35 – 17:00	Session 12: Market Structure: Derivatives

Thursday December 8

09:00 – 10:30	Session 13: Systemic Risk: Definition and Importance of Systemic Risk
10:30 – 10:45	Break
10:45 – 12:15	Session 14: Systemic Risk: The Role of Securities Regulators: Asset Management and Money Market Funds
12:20 – 13:40	Lunch
13:45 – 15:15	Session 15: Systemic Risk: Clearing and Settlement Systems
15:15 – 15:30	Break
15:35 – 17:00	Session 16: Risk Based Supervision and Risk Appetites



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Agenda Phase II (Harvard)

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Friday December 9

09:00 – 10:30	Session 17: Regulatory Structure: Different Models of National Regulatory Structure
10:30 – 10:45	Break
10:45 – 12:15	Session 18: Regulatory Structure: Central Banks Versus Securities Regulators
12:20 – 13:40	Lunch
13:45 – 15:15	Session 19: Regulatory Structure: Importance of International Organizations, including IOSCO, FSB and BIS
15:15 – 16:00	Wrap Up
16:00	End of Phase II Module on Ahead of the Curve Issues